

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

(Based on Tie Ci-oc					
Complete if Known					
Application Number 10/821,389					
Filing Date April 9, 2004					
First Named Inventor Terrance P. SNUTCH					
Art Unit	1609				
Examiner Name	niner Name B. J. Packard				
Attorney Docket Number	381092000624				

	U.S. PATENT DOCUMENTS					
	0:1-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Examiner Initials*	Cite No. ¹	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
	1.	US-4,751,230	06/1988	Lavielle et al.		

		FOREIG	GN PATENT D	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
	2.	BG-17385	11/1973			
	3.	EP-0187524	07/1986			
	4.	EP-0213006	03/1987			
	5.	EP-0458387	11/1991			
	6.	ES-504202	01/1983			
	7.	ES-514167	04/1983			
	8.	GB-1513883	06/1978			
	9.	WO-94/14786	07/1994			
	10.	WO-99/15129	04/1999			
	11.	WO-99/25686	05/1999			
	12.	WO-00/01375	01/2000			
	13.	WO-00/18402	04/2000			
	14.	WO-00/37059	06/2000			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspt.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials					
	15.	BOURINET et al., Nature Neuroscience (1999) 2:407-415			
	16.	CHIARINI et al., Bioorg & Med Chemistry (1996) 4(10):1629-1635			
	17.	COHAN et al., Annals of the New York Academy of Sciences (1991) 635:397-399			
	18.	CRIBBS et al., Circulation Research (1998) 83:103-109			
	19.	Database WPI Week 9711, Derwent Publications Ltd. London, GB; Abstract JP09 003067, XP002133055 (Hisamitsu Pharm Co Ltd.) January 7, 1997			
	20.	DE WAARD et al., Ion Channels (Narahashi, ed., Plenum Press, NY, 1997) 4:41-87			
	21.	DHAINAUT et al., J Med Chem (1992) 35:2481-2496			

				Complete if Known		
Sub	ostitute for form 1449/PTC	•	•	Application Number	10/821,389	
IN	NFORMATIO	N DI	SCI OSURE	Filing Date	April 9, 2004	
	TATEMENT			First Named Inventor	Terrance P. SNUTCH	
3	HEMEN	אום	AFFEIOAII	Art Unit	1609	
	(Use as many sheets as necessary)			Examiner Name	B. J. Packard	
Sheet	2	of	2	Attorney Docket Number	381092000624	

	22.	DOOLEY, Current Opinion in CPNS Investigational Drugs (1999) 1(1):116-125	
	23.	DUNLAP et al., Trends Neurosci (1995) 18:89-98	
	24.	English Translation of Spanish Patent No. ES 504202, Title "Procedure for Obtaining Benzylpiperazines"	
	25.	English Translation of Spanish Patent No. ES 514167, Presentation Date: July 20, 1982, Title "Procedure for Obtaining Benzylpiperzines"	
	26.	ESTEP et al., J Med Chem (1995) 38:2582-2595	_
	27.	GALIZZI et al., PNAS USA (1986) 83:7513-7517	
	28.	GLAMKOWSKI et al., J Med Chem (1977) 20(11):1485-1489	
	29.	GOULD et al., PNAS (1983) 80:5122-5125	
	30.	GRANTHAM et al., Brit J Pharmacol (1994) 111:483-488	
	31.	International Search Report for PCT/CA2004/000535, date mailed on 1 July 2004, 5 pages	<u>_</u>
	32.	Invitation to Pay Additional Fees for PCT/CA2004/000539, date mailed on 2 September 2004, 6 pages	L
	33.	ITO et al., Eur J Pharmacol (1994) 257:203-210	_
•	34.	KING et al., J Biol Chem (1989) 264:5633-5641	L
	35.	LEE et al., Journal of Neuroscience (1999) 19:1912-1921	_
	36.	LEHMANN et al., Arch Pharm (1988) 321:807-812	
	37.	MCCLESKEY et al., Curr Topics Membr (1991) 39:295-326	L
	38.	MIYANO et al., Chem Pharm Bull (1990) 38(6):1570-1574	L
	39.	OHTAKA et al., Chem Pharm Bull (1987) 35(10):4117-4123	L
	40.	OHTAKA et al., Chem Pharm Bull (1987) 35(8):3270-3275	L
	41.	PEREZ-REYES et al., Nature (1998) 391:896-900	L
	42.	PRASAD et al., J Med Chem (1968) 11(6):1144-1150	L
	43.	SATHER et al., Neuron (1993) 11:291-303	_
	44.	STEA et al., Handbook of Receptors and Channels (North, ed., CRC Press 1995) 113-151	L
-	45.	STEA et al., PNAS USA (1994) 91:10576-10580	Ļ
	46.	TOLDY et al., Acta Chimica Academiae Scientiarum Hungarica (1965) 44:301-325 (English Abstract included)	
	47.	TYTGAT et al., Brain Research (1991) 549:112-117	L
	48.	UNEYAMA et al., Calcium Ion Modulator, Sel Pap Satell Symp (1988) 13-23	L
	49.	VADODARIA et al., J Med Chem (1969) 12:860-865	L
	50.	ZIKOLOVA et al., Tr Nauchnoizsled Kim - Farm Inst (1984) 14:23-28	L
	51.	ZIKOLOVA et al., Tr Nauchnoizsled Khim - Farm Inst (1972) 8:59-67	L

Examiner	Date
Signature	Considered
I Signature I	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

02-21-05



Ifu

ALTERNATIVE TO PTO/SB/08A/B (Based on PTO 01-08 version)

Substitute for form 1449/PTO

& THADON

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

(22002011.2010010				
Complete if Known				
Application Number 10/821,389				
Filing Date April 9, 2004				
First Named Inventor	Terrance P. SNUTCH			
Art Unit	1609			
Examiner Name	B. J. Packard			
Attorney Docket Number	381092000624			

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1.	US-4,751,230	06/1988	Lavielle et al.		

	FOREIGN PATENT DOCUMENTS							
Evenines	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages			
Examiner Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Or Relevant Figures Appear	T ₆		
	2.	BG-17385	11/1973					
	3.	EP-0187524	07/1986					
•	4.	EP-0213006	03/1987					
	5.	EP-0458387	11/1991					
	6.	ES-504202	01/1983					
	7.	ES-514167	04/1983					
	8.	GB-1513883	06/1978					
	9.	WO-94/14786	07/1994					
	10.	WO-99/15129	04/1999					
	11.	WO-99/25686	05/1999					
	12.	WO-00/01375	01/2000					
	13.	WO-00/18402	04/2000					
	14.	WO-00/37059	06/2000					

Examiner	Date
Signature	Considered

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS									
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²						
	15.	BOURINET et al., Nature Neuroscience (1999) 2:407-415							
	16.	CHIARINI et al., Bioorg & Med Chemistry (1996) 4(10):1629-1635							
	17.	COHAN et al., Annals of the New York Academy of Sciences (1991) 635:397-399							
	18.	CRIBBS et al., Circulation Research (1998) 83:103-109							
	19.	Database WPI Week 9711, Derwent Publications Ltd. London, GB; Abstract JP09 003067, XP002133055 (Hisamitsu Pharm Co Ltd.) January 7, 1997							
	20.	DE WAARD et al., Ion Channels (Narahashi, ed., Plenum Press, NY, 1997) 4:41-87							
	21.	DHAINAUT et al., J Med Chem (1992) 35:2481-2496							





ALTERNATIVE TO PTO/SB/08A/B (Based on PTO 01-08 version)

				Complete if Known		
Sub	stitute for form 1449/PTO			Application Number	10/821,389	
IN	NFORMATION	ום ו	SCI OSURE	Filing Date	April 9, 2004	
	TATEMENT			First Named Inventor	Terrance P. SNUTCH	
J	' IVI FIMEIAL I	J	AI I LIOANI	Art Unit	1609	
	(Use as many sh	eets as	s necessary)	Examiner Name	B. J. Packard	
Sheet	2	of	2	Attorney Docket Number	381092000624	

-;		
2	2. DOOLEY, Current Opinion in CPNS Investigational Drugs (1999) 1(1):116-125	
2		
2	4. English Translation of Spanish Patent No. ES 504202, Title "Procedure for Obtaining Benzylpiperazines"	
2	English Translation of Spanish Patent No. ES 514167, Presentation Date: July 20, 1982, Title "Procedure for Obtaining Benzylpiperzines"	
2	6. ESTEP et al., J Med Chem (1995) 38:2582-2595	
2	7. GALIZZI et al., PNAS USA (1986) 83:7513-7517	
2	3. GLAMKOWSKI et al., J Med Chem (1977) 20(11):1485-1489	
2	9. GOULD et al., PNAS (1983) 80:5122-5125	
3		
3	1. International Search Report for PCT/CA2004/000535, date mailed on 1 July 2004, 5 pages	
, 3	2. Invitation to Pay Additional Fees for PCT/CA2004/000539, date mailed on 2 September 2004, 6 pages	
3	3. ITO et al., Eur J Pharmacol (1994) 257:203-210	
3	4. KING et al., J Biol Chem (1989) 264:5633-5641	
3	5. LEE et al., Journal of Neuroscience (1999) 19:1912-1921	
3	6. LEHMANN et al., Arch Pharm (1988) 321:807-812	
3	7. MCCLESKEY et al., Curr Topics Membr (1991) 39:295-326	
3	B. MIYANO et al., Chem Pharm Bull (1990) 38(6):1570-1574	
3	9. OHTAKA et al., Chem Pharm Bull (1987) 35(10):4117-4123	
4	OHTAKA et al., Chem Pharm Bull (1987) 35(8):3270-3275	
4	1. PEREZ-REYES et al., Nature (1998) 391:896-900	
4	2. PRASAD et al., J Med Chem (1968) 11(6):1144-1150	
4	3. SATHER et al., Neuron (1993) 11:291-303	
4	4. STEA et al., Handbook of Receptors and Channels (North, ed., CRC Press 1995) 113-151	
4	5. STEA et al., PNAS USA (1994) 91:10576-10580	
4	TOLDY et al., Acta Chimica Academiae Scientiarum Hungarica (1965) 44:301-325 (English Abstract included)	
4	7. TYTGAT et al., Brain Research (1991) 549:112-117	
4	B. UNEYAMA et al., Calcium Ion Modulator, Sel Pap Satell Symp (1988) 13-23	
4	9. VADODARIA et al., J Med Chem (1969) 12:860-865	
5	D. ZIKOLOVA et al., Tr Nauchnoizsled Kim - Farm Inst (1984) 14:23-28	
5	1. ZIKOLOVA et al., Tr Nauchnoizsled Khim - Farm Inst (1972) 8:59-67	

Examiner	Da	Date	
Signature	Cc	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.